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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 1231/1/146 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/539269 |
| INTERNATIONAL APPLICATION NO. PCT/GB2003/005351 | INTERNATIONAL FILING DATE December 9, 2003 | PRIORITY DATE CLAIMED December 19, 2002 |
| TITLE OF INVENTION A MODULAR SPRING MOUNTING FOR A SASH WINDOW COUNTERBALANCE ARRANGEMENT | | |
| APPLICANT(S) FOR DO/EO/US Harold Keith BRATD et. al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO/SB/08A and 4 refs.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: PCT/IB/308</p> | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

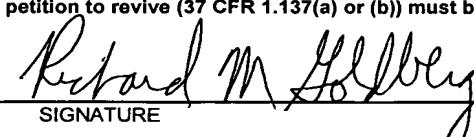
JC17 Rec'd PCT/PTO 16 JUN 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|--|--------------|---|--------------|--------------------------|------|------------------------|----|-----------------------|--------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | | | | | |
| 107559262 | | PCT/GB2003/005351 | | 231/1/146 | | | | | |
| The following fees have been submitted | | | | | | | | | |
| 21. <input checked="" type="checkbox"/> Basic national fee..... \$300 | | | | | | | | | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200 | | | | | | | | | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500 | | | | | | | | | |
| TOTAL OF 21, 22 and 23 = \$ 900 | | | | | | | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | | | | | |
| - 100 = | /50 = | | x \$250 | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | | | | | | |
| CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ | | | | | | | | | |
| Total claims | | 12 - 20 = | 0 | x \$ 50 | \$ 0 | | | | |
| Independent claims | | 3 - 3 = | 0 | x \$200 | \$ 0 | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 \$ 0 | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = \$ 900 | | | | | | | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | | | | | |
| SUBTOTAL = \$ 450 | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | | | | |
| TOTAL NATIONAL FEE = \$ 450 | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | | | |
| TOTAL FEES ENCLOSED = \$ 450 | | | | | | | | | |
| <table border="1"> <tr> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td>Amount to be charged:</td> <td>\$ 450</td> </tr> </table> | | | | | | Amount to be refunded: | \$ | Amount to be charged: | \$ 450 |
| Amount to be refunded: | \$ | | | | | | | | |
| Amount to be charged: | \$ 450 | | | | | | | | |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-1524</u> A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Richard M. Goldberg 25 East Salem Street, Suite 419 Hackensack, NJ 07601 | | | | | | | | | |
|  SIGNATURE Richard M. Goldberg NAME 28, 215 REGISTRATION NUMBER | | | | | | | | | |

10/539269

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MEMBER NEW JERSEY AND
NEW YORK BARS

June 16, 2005

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Entering National Stage in
PCT Application No. PCT/GB2003/005351
Applicants: Harold Keith BRAID et al
Title : A MODULAR SPRING MOUNTING FOR A
SASH WINDOW COUNTERBALANCE
ARRANGEMENT
My File : 231/1/146

Certificate of Mailing By Express Mail Under 37 CFR 1.10

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Date of Deposit : JUNE 16, 2005

I hereby certify that this paper and/or fee is being deposited with
the United States Postal Service "Express Mail Post Office to
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and is addressed to Mail Stop PCT, COMMISSIONER FOR PATENTS,
P.O. Box 1450, Alexandria, VA 22313-1450 on JUNE 16, 2005.

Richard M. Goldberg
(Name of Registered Representative
and person mailing)

Richard M. Goldberg
(Signature and Date)

June 16,
2005

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and
Trademark Office (entering the national phase in a PCT
application (PCT/GB2003/005351) (WO 2004/057140) is a new
application including:

- a) Form PTO-1390 (in duplicate), with authorization to
charge \$450.00 to credit card, along with Credit Card
Payment Form (PTO-2038);

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Commissioner for Patents
June 16, 2005
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- b) Form PCT/IB/308 (mailed July 8, 2004) entitled NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES, indicating that the original PCT application was transmitted by the International Office to the U.S. Patent and Trademark Office - 1 page;
- c) Original filed application of twenty-five (25) pages with thirteen (13) claims and seven (7) sheets of formal drawings (Figs. 1-10B);
- d) Two front pages of published PCT application;
- e) Application Data Sheet;
- f) Form PCT/ISA/210 - International Search Report performed by the European Patent Office;
- g) Preliminary Amendment; and
- h) Information Disclosure Statement, one Form PTO/SB/08A and four references.

The executed Declaration and Power of Attorney will be filed in response to a Notification of Missing Requirements.

APPLICANTS QUALIFY FOR SMALL ENTITY STATUS.

It is respectfully requested that the above-identified PCT application be granted a filing date within the June 19, 2005 convention term (30 months), based on PCT Application No. PCT/GB2003/005351, filed December 19, 2003, and on Great Britain Application No. 0229565.7, filed December 19, 2002.

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 07-1524.

Law Offices of

RICHARD M. GOLDBERG

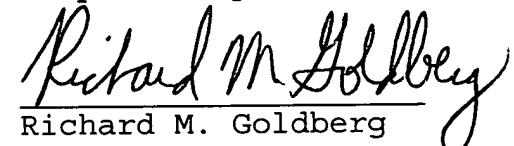
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The Commissioner is authorized to charge any additional fees
which may be required, or credit any overpayment to Deposit
Account No. 07-1524.

Respectfully submitted,


Richard M. Goldberg
Registration No. 28,215

RMG:mz
enclosures

Law Offices of

RICHARD M. GOLDBERG